

"Express Mail" mailing label number EL737390586US

Date of Deposit Feb. 6, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" services under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Typed Name of Person Mailing Paper or Fee: Betty Hinkle

Signature: Betty Hinkle

**PATENT APPLICATION
DOCKET NO. 10002364-1**

**SYSTEM FOR INSTALLING PERIPHERAL
SOFTWARE DURING PERIPHERAL INSTALLATION**

INVENTOR(S):

James F. Fordemwalt
Shell S. Simpson

SYSTEM FOR INSTALLING PERIPHERAL SOFTWARE DURING PERIPHERAL INSTALLATION

Technical Field

The invention relates to remote installation of device drivers over a network. More particularly, the invention relates to ensuring that peripheral software associated with a selected peripheral is installed during the installation of the device driver from a remote computer.

Background Art

The use of personal computers ("PCs") or workstations has increased as the cost of PCs has dropped. With the growth of the use of PCs, there has been an increase in the number and types of peripherals, *e.g.*, scanners, facsimiles, etc., that may be interfaced with PCs.

There has also been a corresponding increase in support from the manufacturers of operating systems for PCs. For example, in the WINDOWSTM family of operating systems from Microsoft Corporation, Redmond, Washington, WINDOWSTM supports many manufacturers and types of peripherals by providing device drivers for the supported peripherals within the operating system. In the WINDOWSTM family of operating systems, a device driver consists of one or more executable module(s) with a ".DLL" or ".DRV" file extension and any associated data files.

Device drivers provide a low-level interface to peripheral devices. Each device driver translates abstract device operations into actual device operations which are hardware-dependent and specific to the peripheral. For the peripheral to function with a PC, a user generally has to install appropriate device drivers for the connected peripheral. Typically, operating systems provide small software programs or utilities that assist in the installation of the device driver for a selected peripheral. As an example, for a user to install a supported printer, the user would execute an installation utility and provide the location of a

1 *PRODUCT.INF* file. The *PRODUCT.INF* is an information file that represents a
2 manufacturer's product name of the printer in some unique way. The manufacturer of the
3 printer has also provided the operating system manufacturer the device driver for the selected
4 printer. The installation utility reads the *PRODUCT.INF* file and installs the device driver
5 from the operating system's media.

6 A device driver may also be installed by using a self-contained executable file, *e.g.*, a
7 "SETUP.EXE" file in the WINDOWSTM family of operating systems. In the self-contained
8 executable file technique, a manufacturer of a peripheral supplies a floppy disk or CD-ROM
9 ("CD") disc that includes a self contained program that will install the device driver of the
10 peripheral. The user installs the software by inserting the media (*i.e.*, the floppy or CD) and
11 executing the self-contained executable file directly from the media. In this case, the custom-
12 made self-contained executable file installs the appropriate necessary files, registers the
13 device drivers with the operating system, and other actions necessary for the device driver to
14 function correctly within the operating system of the PC.

15 The above-mentioned two techniques work well for users of PCs that may be directly
16 connected with peripherals. In today's computing environment, networks often connect PCs
17 with peripherals, which may complicate the installation of device drivers for the networked
18 peripherals. For example, Fig. 5 illustrates a computer network 500 with a number of
19 peripherals 502a...502n and a number of PCs or workstations 504a...504n. Each peripheral,
20 as well as each PC, is interfaced with the network 506, which provides a communication path
21 for each of the peripherals to communicate with each of the PCs. The network 506 also
22 provides a communication path to a server 508 and a peripheral server 510. The server 508
23 may be configured to provide access to application software and/or data to the users of the
24 PCs 504a...504n. The peripheral server 510 may be configured to provide access to the
25 installed peripherals 512a...512n for the users of the computer network 500 as well as access
26 to application programs and/or data. Typically, for a novice user to install a device driver for
27 one of the installed peripherals 512a...512n, the novice user would have to know which
28 device driver and where the device driver is located to interface with the selected peripheral.
29 Unfortunately, many novice users do not possess this information readily, which may lead to
30 frustration, confusion, and a lack of productivity for the novice user.

1 Recently, attempts have been made to alleviate the above difficulties of remote
2 installation of device drivers over a network. For example, the Microsoft Corporation
3 developed a "Point and Print" technique. The Point and Print technique is based on a remote
4 Point and Print server, which supplies device drivers for peripherals installed on a network.
5 Any user on the network may install a device driver for a selected peripheral by selecting an
6 icon representing that peripheral. Alternatively, a user may install a selected peripheral for
7 the remote Point and Print Server by supplying a shared file to a built-in peripheral installer
8 associated with the operating system of the PC. The device driver is then copied to and
9 installed on the user's PC.

10 Although the Point and Print technique may ease the difficulty for the remote
11 installation of device drivers, the Point and Print technique may not be adequate for installing
12 peripheral software over a network. Peripheral software is any manufacturer provided
13 software designed to accompany a device driver for a peripheral. Peripheral software may be
14 configured to extend the capabilities of or access to the associated device driver. For
15 example, peripheral software may be an application for remotely managing a printer
16 including consumables (*e.g.*, toner, paper, etc.), an application to monitor the state of the
17 peripheral, an application to provide Transmission Control Protocol/Internet Protocol
18 ("TCP/IP") support for the peripheral or an application for displaying printer features to a
19 user without requiring the user to visit a dialog box for the printer.

20 In the self-contained executable file or *PRODUCT.INF* technique, the peripheral
21 software may be more easily installed since the manufacturer has directly supplied either the
22 user or the operating software manufacturer with the appropriate device drivers and
23 associated peripheral software. However, in conventional remote driver installation
24 techniques, *e.g.*, the Point and Print technique, procedure calls are limited to installation of
25 the device drivers. The restriction of procedure calls consequently prevents the installation of
26 associated peripheral software for the device driver from a remote computer. Accordingly,
27 associated peripheral software for device drivers may not be installed using a current remote
28 device driver installation.

1 **Summary of Invention**

2 In accordance with the principles of the present invention, a method for installing a
3 device driver and an associated peripheral software on a client computer over a network
4 includes invoking the device driver from a remote computer for a peripheral administrated by
5 a remote computer over the network, and installing associated peripheral software of the
6 peripheral in response to an initialization of the device driver by an operating system of a
7 client computer.

8 One aspect of the present invention is a method for installing a device driver and an
9 associated peripheral software that includes providing at least one peripheral installed on a
10 remote computer, the device driver for at least one peripheral, and the associated peripheral
11 software for at least one peripheral. The method further includes reading a description file
12 associated with the peripheral in response to an initialization of the device driver during an
13 installation of the device driver on a client computer, and installing the peripheral software on
14 a client computer in response to an installation procedure of the peripheral software included
15 in the description file.

16 Another aspect of the present invention is a system for remote installation of a device
17 driver and an associated peripheral software that includes at least one processor of a client
18 computer, a memory coupled to at least one processor of a client computer, and at least one
19 peripheral with the device driver and the associated peripheral software installed on a remote
20 computer. The device driver and the associated peripheral software are retrieved from the
21 remote computer and stored in the memory and the device driver being configured to be
22 executed by at least one processor.

23 Additional advantages and novel features of the invention will be set forth in part in
24 the description which follows and in part will become apparent to those skilled in the art
25 upon examination of the following or may be learned by practice of the invention. The
26 advantages of the present invention may be realized and attained by means of
27 instrumentalities and combinations particularly pointed out in the appended claims.

28

1 **Description of Drawings**

2 Features and advantages of the present invention will become apparent to those
3 skilled in the art from the following description with reference to the drawings, in which:

4 Fig. 1 illustrates a block diagram of a computer network in which an embodiment of
5 the present invention may be implemented;

6 Fig. 2 illustrates an exemplary computer system in which an embodiment of the
7 present invention may be implemented;

8 Fig. 3 illustrates a software architecture of a remote peripheral software installer
9 according to the principles of the present invention;

10 Fig. 4 illustrates a flow diagram of an embodiment of the peripheral software installer
11 according to the principles of the present invention; and

12 Fig. 5 illustrates a conventional network for the installation of device driver over the
13 network.

14 **Detailed Description of Preferred Embodiments**

15 For simplicity and illustrative purposes, the principles of the present invention are
16 described by referring mainly to an exemplary embodiment thereof. Although the preferred
17 embodiment of the invention may be practiced as a software system, one of ordinary skill in
18 the art will readily recognize that the same principles are equally applicable to, and can be
19 implemented in, a hardware system, and that any such variation would be within such
20 modifications that do not depart from the true spirit and scope of the present invention.

21 In accordance with the principles of the present invention, a remote peripheral
22 software installer system for remote installation of software is utilized to install peripheral
23 software associated with a device driver during the installation of the device driver for a
24 selected peripheral. In particular, a user installs a device driver for the selected peripheral
25 from a remote computer. As part of the installation process, the device driver is configured to
26 self-initialize and invoke an initialization entry point. The initialization entry point may be

1 configured to point to a driver initialization description file which is read by the device
2 driver. The initialization description file includes the name of the peripheral software and an
3 installation method for the peripheral software. This information is utilized by the device
4 driver to install the peripheral software according to the listed installation method.
5 Accordingly, by modifying the initialization entry point of the device driver, associated
6 peripheral software may be remotely installed without a user having to be in possession of the
7 associated peripheral software.

8 Fig. 1 illustrates a block diagram of a computer network 100 in which an embodiment
9 of the present invention may be implemented. As shown in Fig. 1, the computer network
10 100 includes, for example, workstations 102a, 102b, a peripheral 104, a server 106, and a
11 remote computer 108. Users of workstations 102a or 102b, may execute application
12 programs and exchange data among the workstations 102a, 102b, the server 106 and/or
13 remote computer 108 over the network 110. The peripheral 104 may be a printer, a scanner,
14 a copier, and the like. The server 106 may be configured to provide client-server services
15 (e.g., remote execution of application programs, data processing, etc.,) to the workstations
16 102a, 102b. The server 106 may be a mainframe computer, a workstation, and the like.

17 The remote computer 108 may be configured to administer peripherals 112a...112n
18 installed thereon by providing device drivers and associated peripheral software, access,
19 control, and the like to the respective peripherals 112a...112n. The peripherals 112a...112n
20 may be physically connected to remote computer 108. Alternatively, the peripherals
21 112a...112n may be physically interfaced with the network 100 but logically connected to
22 remote computer 108 to provide administrative services to the peripherals 112a...112n.

23 Typical examples of peripherals 112a...112n may be a printer, a scanner, a facsimile,
24 plotter, and the like. The peripherals 112a...112b may be installed on the remote computer
25 108, where the remote computer 108 may be configured to provide the device drivers and
26 associated peripheral software to users of the computer network 100. The remote computer
27 108 may be a mainframe computer, a server, a workstation, or a personal computer.
28 According to one embodiment of the present invention, a user of one of the workstations
29 102a, 102b may remotely install a device driver for a one or more of the peripherals

1 112a...112n, and the associated peripheral software associated with the selected peripheral
2 during the installation of the device driver.

3 An exemplary computer system 200 representing any one of the workstation, 102a or
4 102b, is illustrated in Fig. 2. In particular, the exemplary computer system 200 includes a
5 secondary memory 208 where an embodiment of the present invention may be stored for
6 execution by the computer system 200. The secondary memory 208 includes, for example, a
7 hard disk drive 210 and/or a removable storage drive 212, *e.g.*, a floppy diskette drive, a
8 magnetic tape drive, a compact disk drive, etc. The removable storage drive 212 reads from
9 and/or writes to a removable storage unit 214, *e.g.*, a floppy diskette drive, a magnetic tape
10 drive, a compact disk drive, etc., in a well-known manner. The computer system 200 also
11 includes a main memory 206, preferably random access memory (RAM), which may contain
12 a computer program embodiment of the present invention for execution by the processor 202.
13 The processor 202 communicates commands and data over the communication bus 204. A
14 user interfaces with the computer system 200 with a keyboard 216, a mouse 218, and a
15 display 220. The display adaptor 222 interfaces with the communication bus 204 to receive
16 display data from the processor 202 and convert the display data into display commands to
17 the display 220.

18 Fig. 3 illustrates a software architecture 300 of a remote peripheral software installer
19 according to the principles of the present invention. The remote peripheral software installer
20 may be practiced with many types of peripherals, and/or device drivers and associated
21 peripheral software for the respective peripherals. As shown in Fig. 3, the remote peripheral
22 software installer includes a device driver, driver.dll 310 for a selected peripheral, an
23 installation description file for the selected peripheral, driver.idf 320, and an associated
24 peripheral software for the selected peripheral, component.dll 330.

25 A user of computer system 200, may begin installation of a device driver for a
26 selected peripheral that is administered by the remote computer 108 by activating an icon that
27 represents the selected peripheral. Alternatively, the user may supply a Universal Naming
28 Convention ("UNC") path name of the device driver for the selected peripheral to the
29 operating system. The operating system of the computer system 200, may begin installation

1 of the selected peripheral by copying the driver.dll 310 for the selected peripheral to the
2 computer system 200. Along with the driver.dll 310, the driver.idf 320 and the
3 component.dll 330 are copied to the computer system 200 since the driver.idf 320 and the
4 component.dll 330 are dependent or related to driver.dll 310. Once copied, driver.dll 310
5 may begin installation of the components of the device driver that allows the computer
6 system 200b to interface with the selected peripheral.

7 As part of the installation process, the operating system of the computer system 200
8 may be configured to invoke a driver initialization entry point of the driver.dll 310, which in
9 turns invokes an initialization entry point 340. The initialization entry point 340 is
10 configured to redirect the driver.dll 310 to examine the driver.idf 320, which is configured to
11 provide identification of the associated peripheral software, component.dll 330, for the
12 selected peripheral and the installation method 350 for the associated peripheral software.
13 After reading the driver.idf 320, the driver.dll 310 may be further configured to invoke the
14 installation of the component.dll 330, according to the installation method 350 provided by
15 the driver.idf 320.

16 After installation of the component.dll 330 for the selected peripheral, the driver.dll
17 310 returns from the initialization entry point 340 and completes the installation of the device
18 driver as known to those skilled in the art. Accordingly, by modifying the initialization entry
19 point of a device driver for a selected peripheral, the device driver may be redirected to install
20 the associated peripheral software for the selected peripheral during normal remote
21 installation of the device driver.

22 Fig. 4 shows a flow diagram 400 for the remote peripheral software installer shown in
23 Fig. 3, according to the principles of the present invention. In particular, in step 402, a user
24 of one of the workstation, 102a or 102b, may install the device driver for a selected peripheral
25 that is administered by the remote computer 108 by activating an icon that represents the
26 selected peripheral. The device driver for the selected peripheral is represented by a file,
27 driver.dll 310. The operating system of the one workstation, 102a or 102b, may begin
28 installation of the selected peripheral by copying the driver.dll 310, the driver.idf 320, and the
29 component.dll 330 for the selected peripheral, in step 404.

1 Once copied, the driver.dll 310 may begin installation of the components of the
2 device driver that allows the workstation 102a or 102b to interface with the selected
3 peripheral connected to a remote computer. As part of the installation process, the operating
4 system of the workstation 102a or 102b may be configured to invoke a driver initialization
5 entry point of the driver.dll 310, which in turn may be configured to invoke an initialization
6 entry point 340, in step 406. The initialization entry point 340 is configured to redirect the
7 driver.dll 310 to examine the driver.idf 320, which is configured to provide identification of
8 the associated peripheral software, component.dll 330, for the selected peripheral and the
9 installation method 350 for the associated peripheral software, in step 408. The driver.dll 310
10 may be further configured to invoke the installation of the component.dll 330, according to
11 the installation method 350 provided by the driver.idf 320 in response to an examination or
12 reading of the driver.idf 320, in step 410. After installation of the component.dll 330 for the
13 selected peripheral, the driver.dll 310 completes the installation of the driver.dll for the
14 selected peripheral, in step 412.

15 According to the disclosed embodiment, a user of one of the workstations 102a, 102b,
16 may remotely install a device driver for a selected peripheral of the peripherals 112a...112n,
17 in addition to remotely installing the associated peripheral software associated with the
18 selected peripheral without any intervention by the user.

19 While the invention has been described with reference to the exemplary embodiments
20 thereof, those skilled in the art will be able to make various modifications to the described
21 embodiments of the invention without departing from the true spirit and scope of the
22 invention. The terms and descriptions used herein are set forth by way of illustration only
23 and are not meant as limitations. In particular, although the method of the present invention
24 has been described by examples, the steps of the method may be performed in a different
25 order than illustrated or simultaneously. Those skilled in the art will recognize that these and
26 other variations are possible within the spirit and scope of the invention as defined in the
27 following claims and their equivalents.

28